

P23695.P01

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

P23695

Total Pages

Inventor(s) or Application Identifier

Hiroshi NOMURA

Title: OPTICAL ELEMENT RETRACTING MECHANISM
FOR A RETRACTABLE LENS

ADDRESS TO:

 Commissioner for Patents
 Mail Stop Patent Application
 PO Box 1450
 Alexandria, VA 22313-1450

APPLICATION ELEMENTS

ACCOMPANYING APPLICATION PARTS

1. Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
a. ☐ Small Entity Statement(s)
3. ☒ Specification [Total Pages 346]
(preferred arrangement set forth below)
- Descriptive title of the invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure
4. ☒ Drawing(s) (35 USC 113) [Total Sheets 144]
5. ☒ Oath or Declaration [Total Pages 3]
a. ☒ Newly executed (original or copy) ☐ Unexecuted
b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 20 completed)
[Note Box 6 below]
i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).
6. ☐ Incorporation By Reference (useable if Box 5b is checked)
The entire disclosure of the prior application, from which a
copy of the oath or declaration is supplied under Box 5b, is
considered as being part of the disclosure of the accompanying
application and is hereby incorporated by reference therein.
7. ☐ Application Data Sheet. See 37 CFR 1.76
8. ☐ CD-Rom or CD-R in duplicate, large table or Computer
Program (Appendix)

9. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
a. ☐ Computer Readable Form (CRF)
b. Specification Sequence Listing on:
i. ☐ CD-ROM or CD-R (2 copies); or
ii. ☐ paper
c. ☐ Statements verifying identity of above copies
10. ☒ Assignment Papers (cover sheet & document(s))
11. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
12. ☐ English Translation Document (if applicable)
13. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
14. ☐ Preliminary Amendment
15. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
16. ☒ Figure of Drawing to be published 120
17. ☒ Foreign priority claimed
a. ☒ Claim of Priority
b. ☒ Certified Copy of Priority Documents
18. ☒ Assignee: PENTAX Corporation
of Tokyo, JAPAN
19. ☐ Other: _____

20. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior Application No. _____, filed _____.
 Prior application information: Examiner: _____ Group Art Unit: _____

 21. ☐ Amend the specification by inserting before the first line the sentence:
 This application is a _____ continuation-in-part, _____ continuation, _____ divisional, of Application No. _____, filed _____.
Address all future correspondence to **Customer No. 7055** at the present address of:

GREENBLUM & BERNSTEIN, P.L.C.

 1950 Roland Clarke Place
 Reston, VA 20191
 (703) 716-1191

 8/21/03
 Date

 Leslie J. Bernstein Reg. 16
 Signature

 Bruce H. Bernstein Reg. No. 29,027
 Typed or Printed Name

FEE TRANSMITTAL

Complete if Known

| | |
|------------------------|-----------------------|
| Application Number | Not Yet Assigned |
| Filing Date | Concurrently Herewith |
| First Named Inventor | Hiroshi NOMURA |
| Group Art Unit | Unassigned |
| Examiner Name | Unassigned |
| Attorney Docket Number | P23695 |

TOTAL AMOUNT OF PAYMENT (\$952.00)

METHOD OF PAYMENT (check one)

1. ☒ The U.S. Patent and Trademark Office is hereby authorized to charge indicated fees and credit any overpayments to:
- Deposit Account Number 19-0089
- Deposit Account Name GREENBLUM & BERNSTEIN, P.L.C.
- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17, including any required extension of time fees in any concurrent or future reply requiring a petition for extension of time for its timely submission (37 CFR 1.136(a)(3))
- ☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.311(b)
- ☐ Applicant Claims Small Entity Status See 37 CFR 1.27.

2. ☒ Payment Enclosed:☒ Check ☐ Credit Card ☐ Money Order ☐ Other

FEE CALCULATION (fees effective 01/01/03)

1. FILING FEE

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description | Fee Paid |
|----------------|-----------------|----------------|-----------------|------------------------|----------|
| 1001 | 750 | 2001 | 375 | Utility filing fee | \$750.00 |
| 1002 | 330 | 2002 | 165 | Design filing fee | |
| 1003 | 520 | 2003 | 260 | Plant filing fee | |
| 1004 | 750 | 2004 | 375 | Reissue filing fee | |
| 1005 | 160 | 2005 | 80 | Provisional filing fee | |

SUBTOTAL (1) (\$) 750.00

2. CLAIMS

| Total Independent Claims | 29 | -20= | 9 | Extra x | 18 | = | Fee Paid |
|--------------------------|----|------|---|---------|----|---|----------|
| | 2 | -3= | 0 | x | 84 | = | 0 |

Multiple Dependent Claims 0 x 280 = 0

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description |
|----------------|-----------------|----------------|-----------------|---|
| 1202 | 18 | 2202 | 9 | Claims in excess of 20 |
| 1201 | 84 | 2201 | 42 | Independent claims in excess of 3 |
| 1203 | 280 | 2203 | 140 | Multiple dependent claim |
| 1204 | 84 | 2204 | 42 | Reissue independent claims over original patent |
| 1205 | 18 | 2205 | 9 | Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$) 162.00

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description | Fee Paid |
|----------------|-----------------|----------------|-----------------|--|----------|
| 1051 | 130 | 2051 | 65 | Surcharge - late filing fee or oath | |
| 1052 | 50 | 2052 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| 1053 | 130 | 1053 | 130 | Non-English specification | |
| 1812 | 2,520 | 1812 | 2,520 | For filing a request for reexamination | |
| 1804 | 920* | 1804 | 920* | Requesting publication of SIR | |
| 1805 | 1,840* | 1805 | 1,840* | Prior to Examiner action | |
| | | | | Requesting publication of SIR after Examiner action | |
| 1251 | 110 | 2251 | 55 | Extension for response within 1st month | |
| 1252 | 410 | 2252 | 205 | Extension for response within 2nd month | |
| 1253 | 930 | 2253 | 465 | Extension for response within 3rd month | |
| 1254 | 1,450 | 2254 | 725 | Extension for response within 4th month | |
| 1255 | 1,970 | 2255 | 985 | Extension for response within 5th month | |
| 1401 | 320 | 2401 | 160 | Notice of Appeal | |
| 1402 | 320 | 2402 | 160 | Filing a brief in support of an appeal | |
| 1403 | 280 | 2403 | 140 | Request for oral hearing | |
| 1451 | 1,510 | 1451 | 1,510 | Petition to institute a public use proceeding | |
| 1452 | 110 | 2452 | 55 | Petition to revive unavoidably abandoned application | |
| 1453 | 1,300 | 2453 | 650 | Petition to revive unintentionally abandoned application | |
| 1501 | 1,300 | 2501 | 650 | Utility issue fee (or reissue) | |
| 1502 | 470 | 2502 | 235 | Design issue fee | |
| 1503 | 630 | 2503 | 315 | Plant issue fee | |
| 1460 | 130 | 1460 | 130 | Petitions to the Commissioner | |
| 1807 | 50 | 1807 | 50 | Petitions related to provisional applications | |
| 1806 | 180 | 1806 | 180 | Submission of IDS | |
| 8021 | 40 | 8021 | 40 | Recording each patent assignment per property (times number of properties) | \$40.00 |
| 1809 | 750 | 2809 | 375 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 1810 | 750 | 2810 | 375 | For each additional invention to be examined (37 CFR 1.129(b)) | |
| 1801 | 750 | 2801 | 375 | Request for Continued Examination (RCE) | |
| 1802 | 900 | 1802 | 900 | Request for expedited examination of a design application | |

Other fee (specify) _____

Other fee (specify) _____

*Reduced by Basic Filing Fee paid

SUBTOTAL (3) (\$) 40.00

SUBMITTED BY

Complete (if applicable)

| | | | |
|-----------------------|---------------------------|-------------------------|---------|
| Typed or Printed Name | Bruce H. Bernstein | Reg. Number | 29,027 |
| Signature | <i>Bruce H. Bernstein</i> | Deposit Account User ID | 19-0089 |